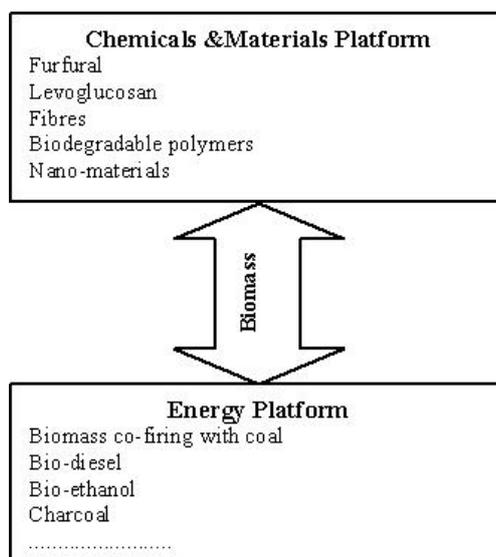


35 Zero emission means shift from “cradle to grave” (LCA) to “cradle to cradle”.

36 *Biorefinery*, according to the Laboratory of Biomass Eco-Efficient Conversion of the Latvian
37 State Institute of Wood Chemistry (LSIWC/LBE-EC) (Gravitis et al., 1997; Gravitis, 2006;
38 Gravitis, 2008), is a cluster of integrated technologies converting biomass into transportation
39 fuels, power, chemicals, and advanced materials within the zero emissions framework and
40 comprises two platforms: Energy and Chemicals & materials (Fig.1).

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44 **Fig. 1.** Platforms of the LSIWC/LBE-EC biorefinery concept

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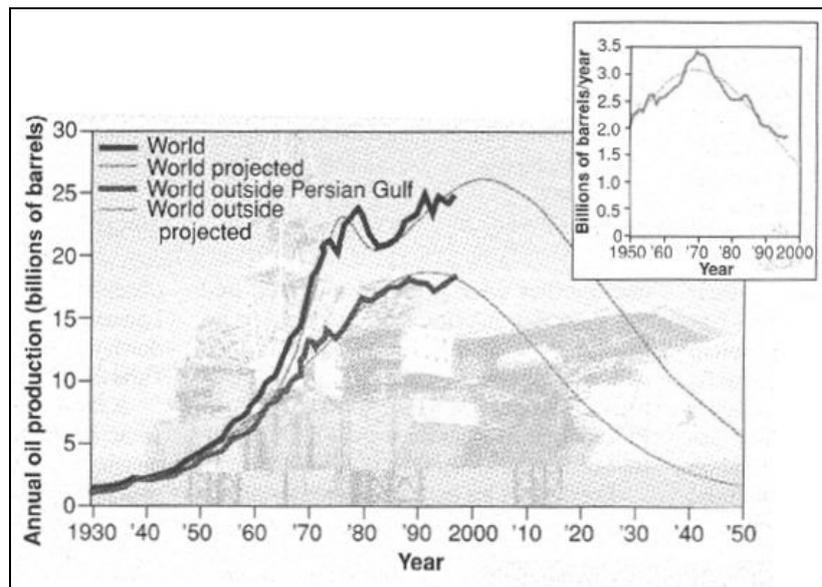
46 The biorefinery concept is an analogue of today's petroleum refineries producing multiple
47 fuels and products from petroleum. By combining chemistry, biotechnology, engineering and
48 systems approach, biorefineries could produce food, feed, fertilizers, industrial chemicals, fuels,
49 and power from biomass. Many of the biorefinery ideas overlap with the ideas and approaches of
50 green chemistry (Gravitis, 2006).

51 As highlighted by Kamm and Kamm (2004), „...biorefineries combine the necessary
52 technologies between biological raw materials and industrial intermediates and final products.
53 The principal goal in the development of biorefineries is defined as (biomass) feedstock-mix +
54 process-mix = product-mix”.

55 Advancement of up-to-date and efficient technological implementations of biomass
56 conversion becomes particularly vital as the deposits of non-renewable resources are being used
57 up (Fig. 2) the products of photosynthesis becoming the only available source of organic fuels
58 and chemicals. Under conditions of severe competition for the carriers of captured solar energy
59 limited by the terrestrial annual total of 56 Gt fixed carbon (Potter, 1999) efficient and
60 sustainable consumption of the unique resource is extremely important for the future bio-

61 refineries required to replace the present petrochemical factories.

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65 **Fig. 2.** Predicted peaks in the world oil production

66 (Right top - Hubbert King predicted oil peak in the U.S. upper states (Kerr, 1998))

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68 2. Case studies

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70 2. 1. Steam explosion pre-treatment. First, second, and third generation feedstock for bio-fuels

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72 The main idea of Zero Emission is zero wastes and clustering of industries. Steam
73 explosion auto-hydrolysis (SEA) can serve as a seed for dispersed but integrated clusters
74 comprising fine chemical industry, paper industry, building industry, food industry,
75 pharmaceutical industry, fuel industry, biotechnology, and farming.

76 Efficient pre-treatment technologies and new uses of lignocellulosic biomass are needed
77 for future bio-refineries. SEA being one of the most prospective pre-treatment technologies
78 facilitates separation of the lignocellulosic biomass components in a single course of action
79 providing the source for biofuels, chemicals, and nano-materials.

80 SEA (steam explosion, steam explosion pulping, steam cracking) principally is a simple
81 technique (Gravitis, 1987). The biomass is treated with saturated steam at pressures up to 4 MPa.
82 The treatment time varies from some seconds to some minutes.

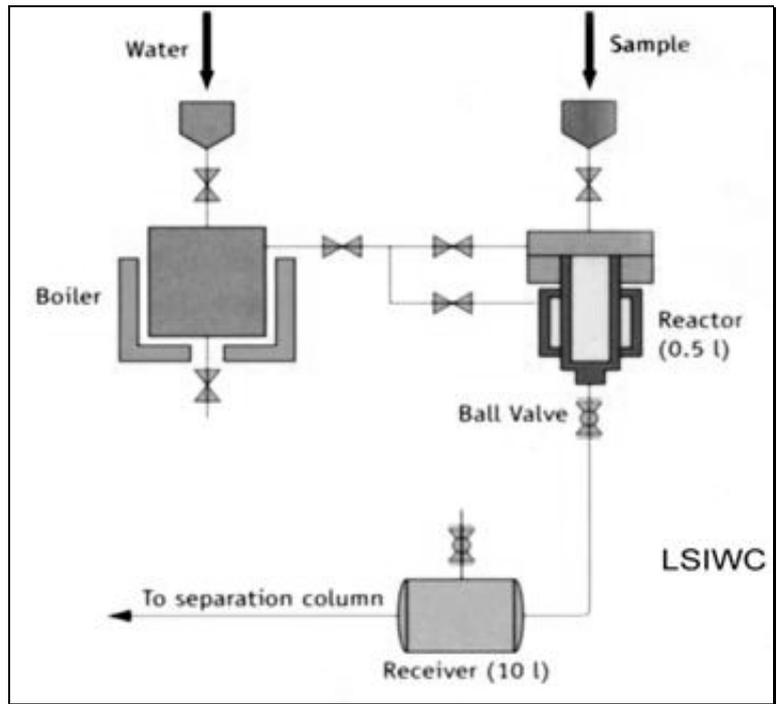
83 After being held at high pressure, within a split second, the biomass is decompressed
84 (exploded) to ambient pressure. Empirically result of SEA is determined by severity parameter
85 R_o expressed as (Heitz et al., 1991) (Eq. 1):

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$$R_o = t * \exp [(T- 100)/ 14.75] \quad (1)$$

where duration of exposure to high pressure (t , minutes) and temperature (T , °C) express the SEA severity against the base temperature $T_{base} = 100$ °C. Similar SEA results may be achieved with different combinations of t and T . However, there is a contribution from other factors such as moisture content of the sample, size of particles etc.

The principal block scheme of SEA is shown in Fig.3.



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Fig. 3. Steam explosion unit

Of a number of chemical and physical processes occurring during the SEA treatment, two are most important (Table 1).

Since the lignocellulosic material, under conditions of steam explosion, can provide “self-sufficient” chemical and physical transformation (Kokorevics et al., 1999) both the processes, hydrolysis and defibrination, can be achieved without any additional reagents (except steam). Further fractionation (Gravitis, 1996) of the biomass products after SEA is rather simple (Fig. 4). The main problem is optimizing fractionation technologies including vacuum evaporation, microfiltration, ultrafiltration, reverse osmosis etc., after the SEA treatment.

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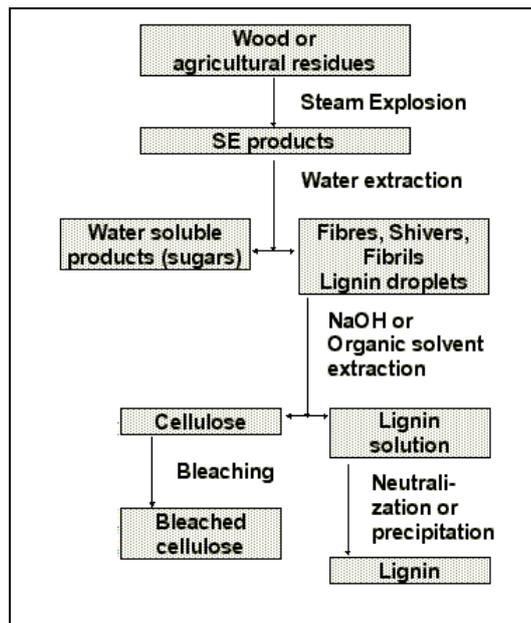
Table 1. Processes with special significance during SEA treatment

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<i>Process</i>	<i>Description</i>
P1	<ul style="list-style-type: none"> - the functional groups are cut off during the process and thereby acid molecules are formed in the system (for example, acetic groups of hemicelluloses form acetic acid) - these newly formed acids act as catalysts of hydrolysis (auto-hydrolysis)
P2	after sudden release of the pressure at the end of the SEA process, the difference of pressure in the tissues of the material and surroundings blasts the material providing an effective defibration of wood or other biomass.

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Fig. 4. Fractionation of steam exploded biomass (Gravitis, 1996)

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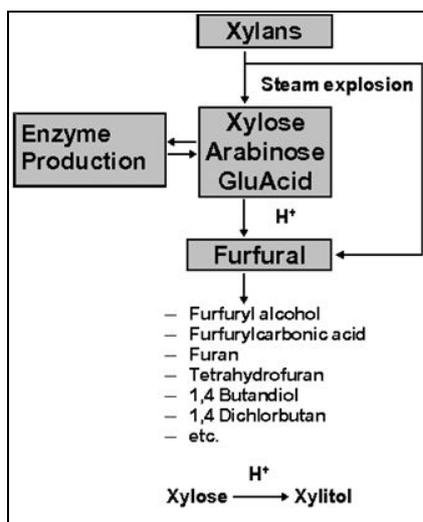
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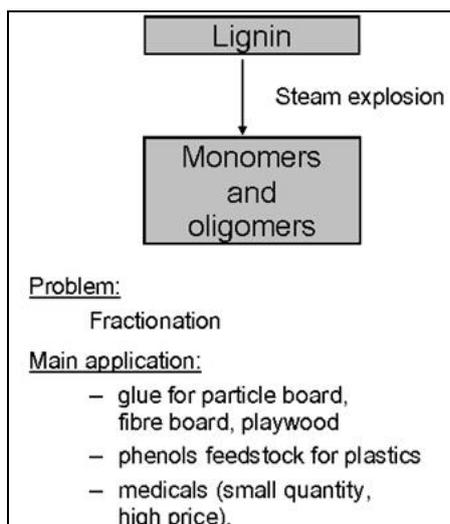
All SEA products have a variety of applications (Gravitis, 1996). Hemicelluloses (Fig. 5), during the SEA can be hydrolysed to sugars – xylose, mannose, arabinose, etc. and used as molasses, the substrate for fodder yeast or bio-ethanol. The xylose sugar can be hydrogenated to xylitol (sweetener) and can also be dehydrogenated to furfural – an excellent feedstock for chemical processing.



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Fig. 5. SEA treatment products from hemicelluloses (Gravitis, 1996)

Lignin can be used as binder to plywood, fibreboards and particle boards (Fig. 6). Lignin is also used as a component in coatings and foams. Lignin and its modification serve as dispersants, slow-release fertilizers, sorbents, carbon fibres, insecticides, and plant hormones. Commercial potential of many lignin derivatives showing good properties is still unclear. Lignin obtained by conventional technologies containing sulphur is often burned and used as a heat source. The SEA lignin is sulphur-free and chemically reactive. During the SEA treatment lignin coalescing in spheres of diameter to some micrometers on the surface of cellulose fibres promotes enzymatic attack on cellulose.



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Fig. 6. Application of lignin from SEA pulp (Gravitis, 1996)

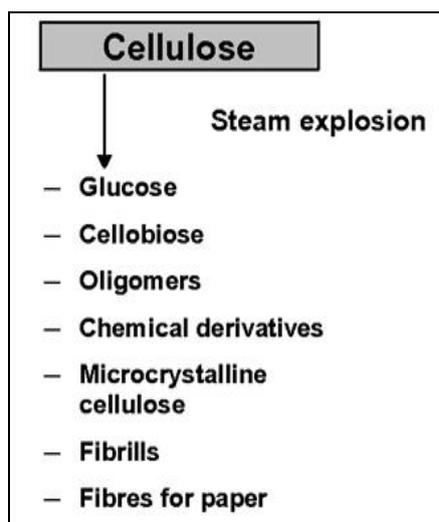
140 Cellulose can be hydrolyzed to glucose and oligosugars (Fig. 7). The enzymatic
141 isomerization of glucose to fructose is well established. Glucose can be fermented to acids,
142 alcohols, polyols and ketones. Derivatives of cellulose serve as animal fodder, substrate for
143 microorganisms and mushrooms, super-high swollen gels, liquid crystals etc. Microcrystalline
144 cellulose is used in paper industry and in food and pharmaceutical industries. The SEA produces
145 cellulose micro-fibrils. It looks perspective to use homogeneously dispersed cellulose fibrils in a
146 polymer matrix of nano-composite structures (nano-technology). Finally, cellulose fibres are a
147 fundamental resource for paper industry.

148 In addition, the SEA technology is flexible in the meaning of satisfying the demand of
149 tree-free pulping (“tree-free paper”). Extensive deforestation requires that fibre materials are not
150 produced from wood but from sugar cane bagasse, bamboo, straw, kenaf and others.

151 Rudolf Diesel and Henry Ford were the first to demonstrate the use of bio-fuels from a
152 variety of crops. Now only biodiesel and bio-ethanol are industrial products. However, the
153 feedstock for bio-fuel is food crops the products being called first-generation bio-fuels. The main
154 criticism against first generation bio-fuels is the use of arable land, driving up food prices, and
155 small effect on reducing emissions of greenhouse gases (GHG) (“food versus fuel” controversy).

156 The challenge is to shift from first generation bio-fuels to second generation bio-fuels the
157 feedstock of which is non-food lignocellulosic materials (straw, wood waste etc.). The main
158 problem of deriving bio-ethanol from cellulose and hemicelluloses is separating lignin from
159 lignocellulosic carbohydrate polymers, and SEA is an excellent method important for material
160 science and energy.

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Fig. 7. SEA treated cellulose products and application (Gravitis, 1996)

165

166 The most recent is the idea of third-generation bio-fuels. According to Carere et al. (2008)
167 “consolidated bio processing (CBP) is a system in which cellulose production, substrate
168 hydrolysis, and fermentation are accomplished in a single-step process by cellulolytic
169 microorganisms. CBP offers potential for reducing production costs of bio-fuel due to a simpler
170 feedstock processing, lower energy inputs, and higher conversion efficiencies than separate
171 processes of hydrolysis and fermentation, and is an economically attractive near-term goal for
172 “third-generation” bio-fuel production”. Separation of lignin and pre-treatment by the SEA
173 technology will be essential also in case of introducing the process of third-generation bio-fuels.

174

175 *2.2. Steam explosion energy evaluation*

176

177 Presently a simple model (Fig. 8) of the main energy flow has been used to assess the
178 energy costs and environmental impacts (Abolins and Gravitis, 2007; Gravitis and Abolins,
179 2007). The energy consumed in the process depends on the moisture content in the raw material.
180 A critical moisture amount H_c beyond which heating of the moisture requires more energy than
181 heating the waterless part of biomass to the operation temperature could be defined by the ratio
182 (Eq. 2):

183

$$184 \quad H_c = C_b \Delta T / (C_b \Delta T + \Delta h) \quad (2)$$

185

186 where:

187 C_b is specific heat of dry biomass;

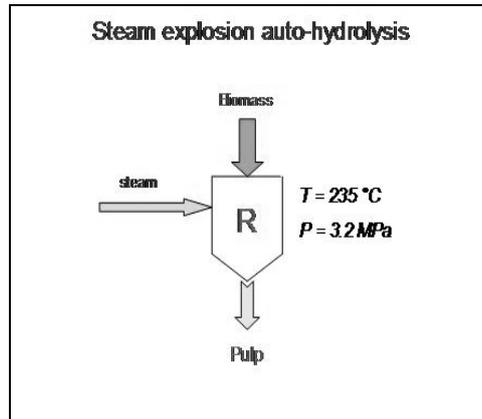
188 ΔT – the difference between the operation temperature and the initial temperature;

189 Δh – difference between the enthalpy of water and steam.

190 Sustainability of the SEA technology may be considered in two aspects: environmental
191 impacts of a technology on the global system (particularly the biosphere) and physical
192 sustainability of the technological process itself mainly dependent on availability of the
193 necessary resources.

194 The eMergy (energy memory) approach offered by Odum (1996) based on the energy of
195 solar radiation as the primary source of all other resources and products providing a general basis
196 common for environment, resources, and other components has been applied to estimate the SEA
197 pre-treatment technology (Abolins and Gravitis, 2007). For the model calculations including the
198 basic energy flow and the source material are made under assumptions of sustainable
199 consumption of naturally grown wood, 20% of energy being supplied by hydroelectric power

200 plants and 80 % – by thermoelectric power plants, and any energy or other costs for simplicity
 201 being neglected.
 202



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 204
 205 **Fig. 8.** Model of the steam explosion system (R – reactor)
 206

207 Defining sustainability S of the process by the ratio of renewable eMergy R (biomass and
 208 hydroelectricity) to the total of renewable and non-renewable (thermoelectricity) eMergy NR
 209 (Eq. 3):

$$210$$

$$211 \quad S = R / (R + NR) \quad (3)$$

$$212$$

213 The value of S being zero if $R = 0$ means that only non-renewable resources are used and the
 214 process is not sustainable. If $NR = 0$, then $S = 1$ (or 100 %) indicating condition of a completely
 215 sustainable process.

216 Within the simplified model discussed here S equally refers to the environment and the
 217 SEA process itself since the major impact on the environment is due to release of carbon dioxide
 218 by thermoelectric power plants.

219 The SEA process can be made completely self-sufficient providing the necessary energy
 220 by burning biomass. Defining the net efficiency F of the process by the ratio of energy necessary
 221 per unit bio-mass E_0 to energy $E > E_0$ actually spent per unit mass (Eq. 4):

$$222$$

$$223 \quad F = E_0 / E \quad (4)$$

$$224$$

225 The latter from (4) may be expressed as (Eq. 5):

$$226$$

$$227 \quad E = E_0 / F \quad (5)$$

228

229 If E_c is combustion heat of the biomass used to provide the energy for SEA treatment, the
230 ratio E_c/E is the number N of biomass units that can be processed at the expense of burning one
231 biomass unit. From equation (5) it may be expressed as (Eq. 6):

232

$$233 \quad N = E_c / E = E_c \cdot F / E_0 = F / (E_0 / E_c). \quad (6)$$

234

235 Eq. (6) does not specify the kind of biomass used to supply the energy. It is valid under
236 condition that E_0 refers to the biomass undergoing the treatment while E_c – to the biomass used
237 to fuel the process. If the same kind of biomass is used as fuel, the E / E_c ratio determines the
238 amount of biomass available for treatment or the yield (Eq. 7):

239

$$240 \quad Y = 1 / (1 + E / E_c) \quad (7)$$

241

242 *2.3. Wood cell wall structure – the opportunity to obtain nano-materials by steam explosion*

243

244 Nanotechnology as the study of wood cell structures with dimensions of 1-100 nm or
245 larger (microstructures) is a promising field of research and industrial application. Cellulose
246 consists of microscopic fibril nanostructures (whiskers) of high stiffness and strength. The
247 nanostructures of lignin are less clear.

248 Relationship between structure, function, and properties is the key issue of developing
249 new materials. Recently the shape of lignin particles lacking some exact molecular formula has
250 been determined by ultra-small angle synchrotron X-ray scattering (USAXS).

251 The TEM, SAXS and USAXS experiments have revealed size-dependent properties of
252 nano-particles obtained by SEA from new cell wall modifications (Kokorevics et al., 1999).

253 Studies of nano-cell structure and dynamics of natural plant walls would reveal diverse
254 opportunities for natural nano-composites and nano-technologies of synthetic intermediates and
255 substrates to imitate the nature by chemical processes (Gravitis, 2006).

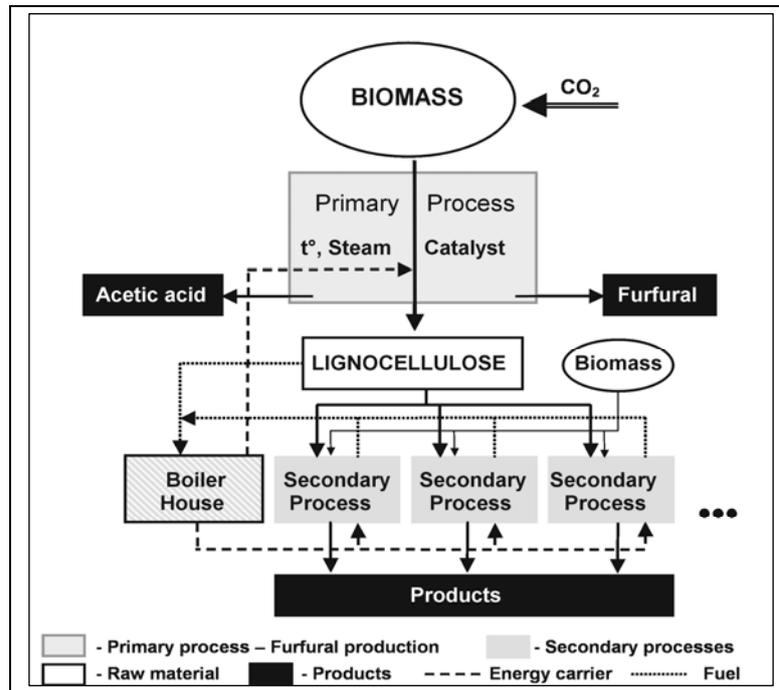
256

257 *2.4. Design of integrated technology clusters*

258

259 System design is the first step integrating technologies. Clustered technologies should
260 have maximum throughput and minimum waste. The unsolved problem of Zero Emissions is
261 connecting technologies in an optimized network. Fig. 9 shows the general strategy of
262 integrating technologies (Gravitis, 2003) designed by the LSIWC/LBE-EC. Substituting the

263 primary furfural production by other technologies is not a problem. It depends on the purpose of
264 the integrated cluster.
265



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267

268 **Fig. 9.** General design of the flow chart of a LSIWC/LBE-EC biorefineries system (Gravitis,
269 2003)
270

271 3. Results and discussion

272

273 3.1. SEA technology for self-binding materials and plywood

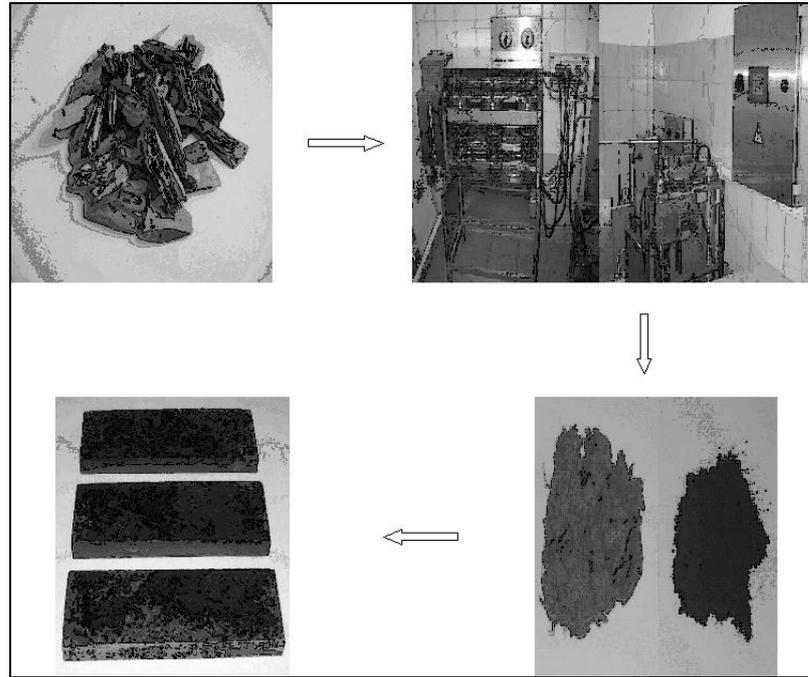
274

275 Plywood and pressed boards are composites widely used in building constructions and
276 furniture. Developed SEA technologies allow utilizing low-quality and waste-wood to make
277 useful products.

278 Presently phenols are mainly derived from petrochemicals. The costs of these chemicals
279 depend on the oil price. As the oil price increases, the costs of wood composites raise too – the
280 prices of phenol adhesives correlate with the oil market prices. Another adhesive component –
281 formaldehyde has been classified as human carcinogen in 2004.

282 Fibre and particle boards are bound together by a suitable synthetic thermosetting adhesive
283 at designed pressure and temperature. Phenol and furan resins synthesized from petroleum
284 materials are expensive, not environmentally benign. The phenol adhesive and exploded self-

285 binding mass after the SEA process (Fig. 10) is cheap. Phenols separated from SEA mass can be
286 used in plywood binders.
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290 **Fig. 10.** From wood chips (left) to steam explosion unit, to SEA products, to self-binding
291 boards (right) (Abolins et al., 2008)

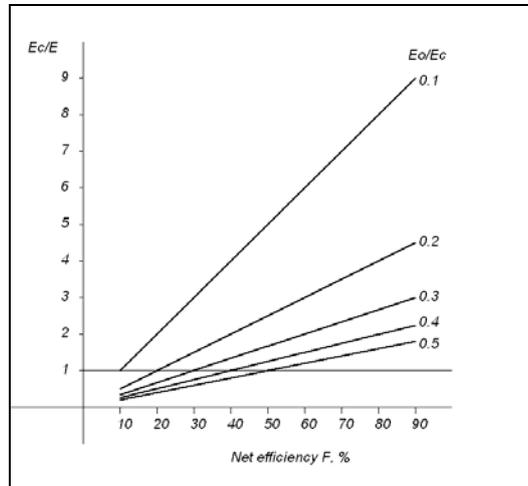
292
293 An attempt to use lignin extracted from wood biomass after SEA in self-binding boards
294 and plywood has been reported (Abolins et al., 2008; Gravitis et al., 2008) paying attention to
295 economic and energy costs.

296
297 *3.3. Steam explosion energy evaluation*

298
299 The critical moisture content defined by Eq. (2) is close to air-dry wood, which means
300 that energy spent on drying biomass should be accounted. There exists some threshold amount of
301 moisture needed to initiate hydrolysis.

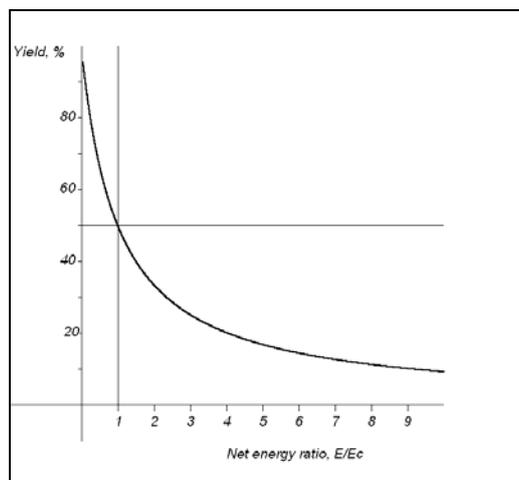
302 Assuming that total energy consumed is 1kWh per kg dry biomass and taking
303 transformations – the solar energy equivalents of hydroelectricity, biomass, and thermoelectricity
304 from M. T. Brown (Brown and Ulgiati, 2004) the value of sustainability index S defined by Eq.
305 (3) is found to be 0.15 approaching 0.1 at 3 kWh/kg and 0.3 at less than 0.5 kWh/kg.

306 As seen from Eq. (6), the number of processed biomass units N is a linear function of net
 307 efficiency F the slope being determined by E_0 / E_c . The number of biomass units N that can be
 308 processed by SEA per unit biomass combustion energy is shown in Fig. 11.
 309



310
 311
 312 **Fig. 11.** The ratio of biomass combustion energy E_c to net energy E per unit biomass vs. net
 313 efficiency F at different pre-treatment energies in terms of E_c
 314

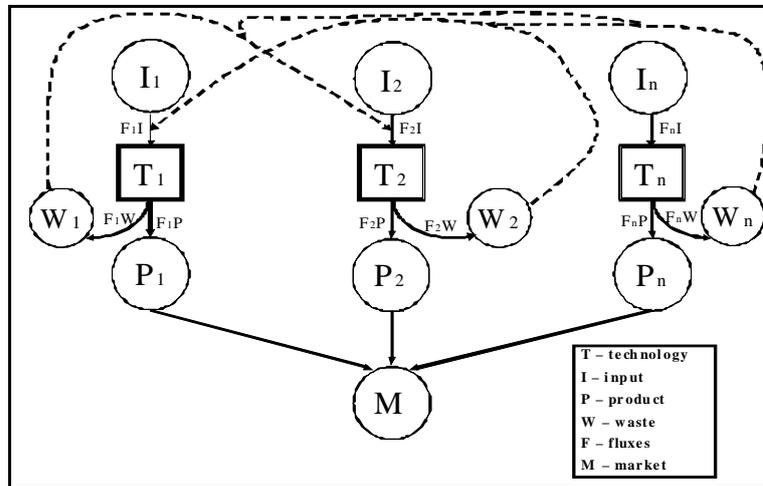
315 The processed biomass yield, Eq. (7), in per cent of the total amount of available biomass
 316 as function of net energy per unit mass E in terms of biomass combustion energy E_c is shown in
 317 Fig. 12. One may regard $E = E_c$ as the critical value at which 50% of the available specific
 318 biomass resource can be processed by burning the other half. At $E > E_c$ more of the available
 319 biomass needs to be burned to fuel the treatment. The critical ratio of $E_c / E = 1$ is shown by a
 320 line.



321
 322 **Fig. 12.** Amount of biomass available for self-subsistent SEA pre-treatment as function of net
 323 energy in terms of biomass combustion energy

324 3.4. Integration of bio-based technologies in clusters

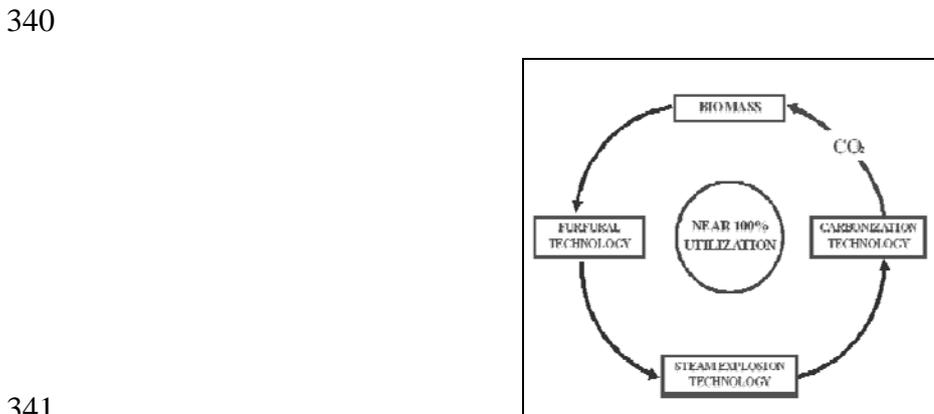
325
 326 The mathematical graph on the basis of oriented graph theory used in choosing the
 327 optimal routes of raw materials, products, and wastes (Gravitis et al., 2004) is illustrated in Fig.
 328 13.



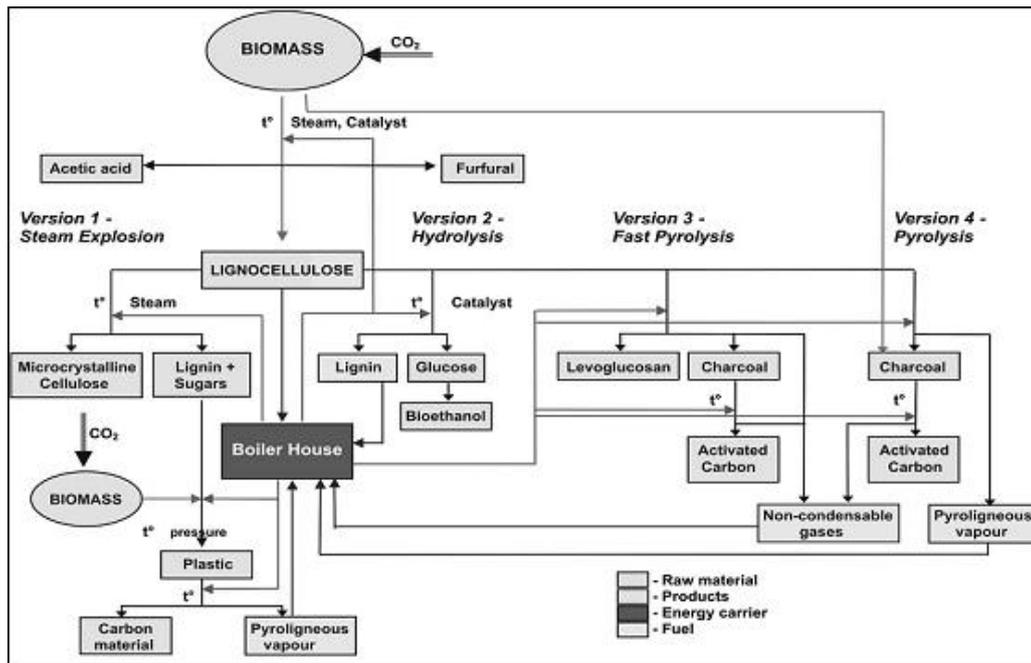
329
 330
 331 **Fig. 13.** Biomass refineries integrated cluster as an oriented mathematical graph
 332 (Gravitis et al., 2004)

333
 334 Producers should be located close to each other. However, many problems remain
 335 unsolved. Apart from output and waste optimization, the profit interests of companies,
 336 sometimes controversial to other factors, are significant.

337 An example (Gravitis et al., 2002) of integrated furfural production technologies, steam
 338 explosion, and pyrolysis is given in Fig. 14. The integrated LSIWC/LBEEC systems approach to
 339 a biomass technologies cluster is presented in Fig. 15.



341
 342
 343 **Fig. 14.** Integration of three technologies: furfural, steam explosion, and carbonization
 344 (Gravitis et al., 2002)



346

347

348 **Fig. 15.** Biomass based integrated technologies cluster towards Zero Emissions

349

350 **4. Conclusions**

351

352 Shift to renewable resources requires replacement the present crude oil refinery by
 353 biomass refinery. The future biorefinery technologies will be based on integration in clusters
 354 towards zero emissions. The steam explosion autohydrolysis technology renaissance could be
 355 point for second and third biofuels resources pre-treatment.

356

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